

TRANSMITTAL

1642 P#R

PTO/SB/21 (08-00) Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/765,060

January 17, 2001

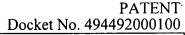
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Application Number

Filing Date

FORM		First Named Inventor	Baofa YU	RECEIVED	
		Group Art Unit	1642	DEC 0 4 2002	
(to be used for all correspondence after initial filing)		Examiner Name	K. Canella		
Total Number Of Pages In Th	nis Submission	9	Attorney Docket No.	494492000100	TECH CENTER 1600/298
ENCLOSURES (check all that apply)					
Fee Transmittal For	m		signment Papers ran Application)		After Allowance Communication to Group
Fee Attached		Dra	awing(s)		Appeal Communication to Board of Appeals and Interferences
Amendment / Reply		Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Pet	tition		Proprietary Information
Affidavits/declarations			ition to Convert to a evisional Application		Status Letter
Extension of Time Request			wer of Attorney, Revocation ange of Correspondence Ad	dress	Other Enclosure(s) (please identify below): Return postcard
,		Ter	minal Disclaimer		
Express Abandonment Request		Red	quest for Refund		
Information Disclosure Statement; PTO form 1449; and 113 references		CD	, Number of CD(s)		•
Certified Copy of Priority Document(s)		Remarks			
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm	Peng Chen, Registration No. 43,543				
or Morrison & Foerster LL			0. C Di 04 00400 0000		•
Individual Name 3811 Valley Centre Drive, Su		rive, Suite 50	u; San Diego, CA 92130-2332		
Signature					
Date November 2002					1
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In the application of:

Baofa YU

Serial No.:

09/765,060

Filing Date:

January 17, 2001

For:

COMBINATIONS AND METHODS

FOR TREATING NEOPLASMS

Examiner: K. Canella

DEC 0 4 2002

Group Art Unit: 1642

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents, numbers 1-3, 6, 10, 14-15, and 113, listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application. This Information Disclosure Statement is submitted:

information Disclosure St.
With the application Disclosure Statement is submitted:
application.
Within three
months of the application of
Within three months of the application filing date or before mailing of a first Office After receipt of a first Office
Action on the merits; accordingly, no fee or separate requirements are required. After receipt of a first Office Action on the merits but before. Action or Notice of All.
After receipt of a first Office Action on the merits but before mailing of a first Office Action or Notice of Allowance. A fee is required. A starting of a final Office
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to this submission in duplicate.
A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee After mailing of a final Office.
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15 believed to be due. 15 provided below: according to
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After mailing of a final Office Action or Notice of Allowance, but before payment of the A Certification under 27.0.7
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A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate. Applicants would appreciate the Examiner initialing and returning the Feer Provided indicating that the information has been seen as a check in the
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indicating that the information has be

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

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In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 494492000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 20, 2002

Respectfully submitted,

3y: _______

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